



IAP15 Rec'd PCT/PTO 22 FEB 2007

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Roberts ) Docket No.: H0005838  
Serial No. 10/576,663 ) Group Art Unit: tbd  
Title: SECTOR DIVIDED ) Examiner: To be assigned  
TURBINE ASSEMBLY WITH )  
AXIAL PISTON VARIABLE )  
GEOMETRY MECHANISIM )  
Filed: 10/24/03 )

Torrance, California 90505  
Date: 2/19/07

RESPONSE TO NOTICE TO FILE MISSING REQUIRMENTS  
FILING DATE GRANTED

Hon. Commissioner for Patents  
**P.O. Box 1450**  
Alexandria, VA 22313-1450

ATTN.: Mail Stop Missing Parts

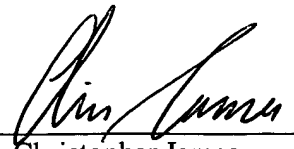
Dear Commissioner:

A copy of the NOTIFICATION OF MISSING REQUIREMENTS dated 1/11/07, and the executed declarations in compliance with C.F.R. 1.497(a) are attached hereto.

Please charge the \$130.00 surcharge under 37 C.F.R. §1.492(e) for filing the executed Declaration later than the filing date of the above-identified patent application to Deposit Account No. **01-1125**. Also, please charge \$530.00 for the remaining fees required as mentioned in the Notice of Missing Parts. Please charge or credit any other fees that maybe required to the same deposit account number. Also, attached herewith is the Assignment with Recordation Sheet.

Respectfully submitted,

Honeywell International Inc.  
23326 Hawthorne Boulevard, Suite 200  
Torrance, California 90505  
(310) 791-7850

By:   
John Christopher James  
Registration No.: 40,660

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Alexandria VA 22313-1450

(Date of Deposit)  
By:  2/17/07  
Faisal Adnan (Date)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
-----------------------------	-----------------------	------------------

10/576,663

Quentin Roberts

H0005838-2930

INTERNATIONAL APPLICATION NO.

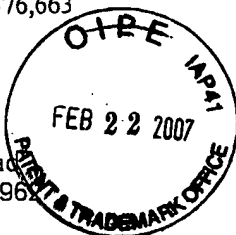
PCT/IB03/05142

I.A. FILING DATE

PRIORITY DATE

10/24/2003

Deborah M Chess  
101 Columbia Road  
Morristown, NJ 07960



CONFIRMATION NO. 8172

371 FORMALITIES LETTER



\*OC000000021949268\*

Date Mailed: 01/11/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Copy of IPE Report filed on 04/21/2006
- Oath or Declaration filed on 04/21/2006
- Request for Immediate Examination filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$330** for a Large Entity:

- **\$130 Surcharge.**

- The application examination fee has not been paid. Applicant must submit **\$200** to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,663	PCT/IB03/05142	H0005838-2930